



IRJ 3629

Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Effective on 12/08/2004.
Fee pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL

For FY 2005

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	09/885,686-Conf. #6375
Filing Date	June 20, 2001
First Named Inventor	Paul Peterson
Examiner Name	C. G. Thai
Art Unit	3629
Attorney Docket No.	30020/37197

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):

☐ Deposit Account Deposit Account Number: 13-2855 Deposit Account Name: MARSHALL, GERSTEIN & BORUN LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☐ Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims Extra Claims Fee (\$) Fee Paid (\$)
- = x =

Multiple Dependent Claims
Fee (\$) Fee Paid (\$)

Indep. Claims Extra Claims Fee (\$) Fee Paid (\$)
- = x =

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)
- 100 = /50 (round up to a whole number), x =

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): 1806 Submission of an Information Disclosure Statement 180.00

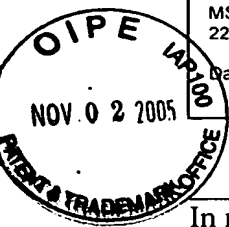
SUBMITTED BY

Signature		Registration No. (Attorney/Agent)	41,631	Telephone	(312) 474-6300
Name (Print/Type)	G. Christopher Braidwood	Date	October 31, 2005		

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: October 31, 2005

Signature: (G. Christopher Braidwood)



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Dated: October 31, 2005

Signature:

(G. Christopher Braidwood)

Docket No.: 30020/37197
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Paul Peterson

Application No.: 09/885,686

Confirmation No.: 6375

Filed: June 20, 2001

Art Unit: 3629

For: METHODS AND APPARATUS FOR
PRODUCING A LENTICULAR NOVELTY
ITEM INTERACTIVELY VIA THE
INTERNET

Examiner: C. G. Thai

THIRD INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Each of the references listed on the attached Form PTO/SB/08 corresponds with a document cited in either of the following two co-pending applications filed by the applicant. U.S. Patent Application Serial No. 09/885,746, entitled "Methods and Apparatus for Generating a Multiple Composite Image," and U.S. Patent Application Serial No.

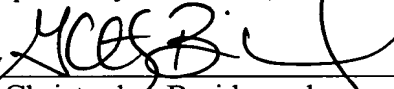
09/885,758, entitled "Methods and Apparatus for Producing a Lenticular Novelty Item at a Point of Purchase."

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

A Credit Card Payment Form PTO-2038 to cover the \$180.00 fee set forth in 37 CFR 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 13-2855, under Order No. 30020/37197. A duplicate copy of this paper is enclosed.

Dated: October 31, 2005

Respectfully submitted,

By 

G. Christopher Braidwood

Registration No.: 41,631

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Attorney for Applicant

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Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	09/885,686-Conf. #6375
Filing Date	June 20, 2001
First Named Inventor	Paul Peterson
Art Unit	3629
Examiner Name	C. G. Thai
Attorney Docket Number	30020/37197

Sheet	1	of	1
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	*	US-5,117,283	05/1992	Kroos et al.	
	*	US-5,539,453	07/1996	David et al.	
	*	US-5,587,740	12/1996	Brennan	
	*	US-5,886,818	03/1999	Summer et al.	
	*	US-6,026,215	02/2000	Fantone et al.	
	*	US-6,091,482	07/2000	Carter et al.	
	*	US-6,148,148	11/2000	Wain et al.	
	*	US-6,258,194	07/2001	Danon	
	*	US-6,266,068-B1	07/2001	Kang et al.	
	*	US-6,301,385	10/2001	Chen et al.	
	*	US-6,329,987-B1	12/2001	Gottfried et al.	
	*	US-6,400,374-B2	06/2002	Lanier	
	*	US-6,400,832-B1	06/2002	Sevigny	
	*	US-6,429,892-B1	08/2002	Parker	
	*	US-6,507,361-B1	01/2003	Barber	
	*	US-6,542,646	04/2003	Bar-Yona	
	*	US-6,549,295	04/2003	Fantone et al.	
	*	US-6,619,860	09/2003	Simon	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

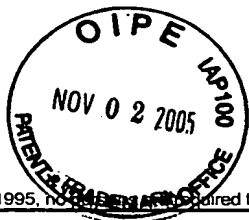
NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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PTO/SB/92 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

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Application No. (if known): 09/885,686

Attorney Docket No.: 30020/37197

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on October 31, 2005
Date

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G. Christopher Braidwood

Typed or printed name of person signing Certificate

41,631

Registration Number, if applicable

(312) 474-9559

Telephone Number

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IDS (Citation) by Applicant (18 References) (1 page)
Information Disclosure Statement
Fee Transmittal
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